

Retained Earnings Proposal Request  
Please Fax Completed Form To: 1-585-244-7043  
Attention: Mark E. Kluge, CLU

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Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Broker Name: \_\_\_\_\_ Phone: (\_\_\_\_) \_\_\_\_-\_\_\_\_

1. Client or Case Name:

\_\_\_\_\_

2. Client's Dates of Birth

Husband: \_\_\_\_/\_\_\_\_/\_\_\_\_

Wife: \_\_\_\_/\_\_\_\_/\_\_\_\_

3. Total retained earnings in corporation & in what form?  
(ie: liquid cash, plant, equipment, inventory?)

\$ \_\_\_\_\_

4. Amount of liquid retained earnings to be rescued?

\$ \_\_\_\_\_

5. Client's ownership percentage of corporation: \_\_\_\_\_%

6. Is the corporation a family owned business? \_\_yes\_\_no

7. Is the corporation a sub-S corporation converted from a  
C-corporation with carryover retained earnings?  
\_\_yes\_\_no

8. Is client's goal generational wealth transfer or retirement  
benefits? \_\_ wealth transfer \_\_ retirement income